## Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Andrew L. May

Name of the Holding Company Director and Official

Truxton Corporation

Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or

object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information." 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report conderning that individual. Signature of Holding Company Director and Official 03/05/2021 Date of Signature For holding companies not registered with the SEC-Indicate status of Annual Report to Shareholders: is included with the FR Y-6 report will be sent under separate cover is not prepared For Federal Reserve Bank Use Only RSSD ID C.I.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or spon-OMB control number.

sor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid Date of Report (top-tier holding company's fiscal year-end): December 31, 2020 Month / Day / Year 549300QFI7POMLZEIG25 Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code) Reporter's Name, Street, and Mailing Address Truxton Corporation Legal Title of Holding Company 4525 Harding Road Suite 300 (Mailing Address of the Holding Company) Street / P.O. Box Nashville TN 37205 City Zip Code State Physical Location (if different from mailing address) Person to whom questions about this report should be directed: Julie Marr Chief Treasury Officer 615-515-1716 Area Code / Phone Number / Extension 615-515-1717 Area Code / FAX Number julie.marr@truxtontrust.com www.truxtontrust.com Address (URL) for the Holding Company's web page Is confidential treatment requested for any portion of this report submission? ..... 0 In accordance with the General Instructions for this report (check only one), 1. a letter justifying this request is being provided along with the report ..... 2. a letter justifying this request has been provided separately ... NOTE: Information for which confidential treatment is being requested

must be provided separately and labeled

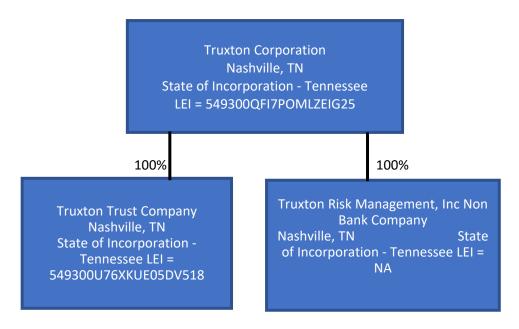
as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

# Form FR Y-6 Truxton Trust Company Nashville, Tennessee State of Incorporation - Tennessee Fiscal Year Ending December 31, 2020

## Report Item

- 1 The bank holding company prepares an annual report for its securities holders. Two copies are enclosed.
- 2 Organizational Chart



## Form FR Y-6 Truxton Corportation Fiscal Year Ending December 31, 2020

Report Item 3: Securities holders (1)(a)(b)(c) and (2)(a)(b)(c)

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2020			Securities holders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/2020			
1)(a) Name, City, State, Country	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name, City, State, Country	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities	
	( <u>All USA</u> )	( <u>All Common Stock</u> )	None	None	None	

## Form FR Y-6 **Truxton Corporation** Fiscal Year Ending December 31, 2020

Report Item 4: Insiders
(1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)

(1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)								
(1) Name, City, State, Country	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (include names of subsidiaries)	(3)(b) Title & Position with Other Businesses (include names of other businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting shares with Subsidiaries (include names of subsidiaries)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)	
Howard H Lamar Nashville TN Unitied States	Attorney/ Member; Bass, Berry & Sims PLC	Director	N/A	Attorney/ Member; Bass, Berry & Sims PLC	2,888 - 0.10% Common Stock	None	None	
Louise Bryan Nashville TN Unitied States	Commissioner; City of Belle Meade	Director	N/A	Commissioner; City of Belle Meade	686 - 0.03% Common Stock	None	None	
Decosta E. Jenkins Nashville TN Unitied States	President & CEO, Nashville Electric Service	Director	N/A	President & CEO, Nashville Electric Service	10,604 - 0.37% Common Stock	None	None	
Matthew A. King Brentwood TN Unitied States	Managing Partner, FCA Venture Partners	Director	N/A	Managing Partner, FCA Venture Partners	19,911 - 0.70% Common Stock	None	None	
Gustavus A Puryear IV Nashville TN Unitied States	General Counsel and Chief Human Resources Officer, Asurion	Director	N/A	General Counsel and Chief Human Resources	11,706 - 0.41% Common Stock	None	None	
Christopher C. Whitson Nashville TN Unitied States	Attorney; Partner Sherrard Roe Voigt & Harbison, PLC	Director	N/A	Attorney; Partner Sherrard Roe Voigt & Harbison, PLC	8,328 - 0.31*% Common Stock	None	None	
Deborah A. McDermott Nashville TN Unitied States	CEO & President, Standard Media Holdings, LLC	Director	N/A	Standard Media Holdings, LLC	1,368 - 0.05% Common Stock	None	None	
Jeffrey Buntin Jr. Nashville TN Unitied States	CEO & President, The Buntin Group	Director	N/A	President & CEO, The Buntin Group	7,406 - 0.26% Common Stock	None	None	
V. Larkin Martin Courtland AL Unitied States	The Albemarle Corporation	Director	N/A	Vice President	2,346 - 0.08% Common Stock	None	None	

Thomas S. Stumb Nashville TN Unitied States	N/A	Director & President & CEO	Chairman & Chief Executive Officer (Truxton Trust Co)	N/A	131,297 - 4.58% Common Stock	None	None
J. Overton Colton Nashville TN Unitied States	N/A	Secretary	Managing Director { (Truxton Trust Co)	N/A	93,257 - 3.26% Common Stock	None	None
Derrick A. Jones Primm Springs TN Unitied States	N/A	N/A	Managing Director (Truxton Trust Co)	N/A	126,399 - 4.41% Common Stock	None	None
Andrew L May Nashville TN Unitied States	N/A	N/A	President & Chief Financial Officer (Truxton Trust Co)	N/A	113,087 - 3.95% Common Stock	None	None
Julie M. Marr Gallatin TN United States	N/A	N/A	SVP & Controller (Truxton Trust Co)	N/A	22,791 - 0.80% Common Stock	None	None